FEE: \$100.00

Cigarette/Tobacco Application Process

Effective March 2024 there is a new process to apply for a Cigarette/Tabacco License.

- 1. Fill out form CTV-100
- 2. Fill out form CTV-101
 - a. All Officers, Members, Agents, Partners must fill out this form
- 3. Fill out form CTV-102
 - a. This form is **ONLY** required for corporations and limited liability companies (LLC)

The application will not be considered complete unless all these forms are returned to the City Clerk. Any incomplete applications will be returned to the applicant.

Form CTV-100

Cigarette, Tobacco, and Electronic Vaping Device Retail License Application

FOR CLERKS ONLY
Municipality
License Period

Part A: Premises/Business Information							
Legal Business Name (individual name if sole proprietor)							
2. Business Trade Name or DBA							
3. FEIN			4. Wisconsin Se	eller's Permit	Number		
5. Entity Type (check one)							
☐ Sole Proprietor	□ P:	artnership	Lin	nited Liability	y Company Corporation		
6. State of Organization		7. Date of Organiza	ation		8. Wisconsin DFI Registration Number		
9. Premises Address (do not use P	O Box)	1					
10. City				11. State	12. Zip Code		
13. County	14. Governing of:	g Municipality: Cit	ty 🗌 Town	Village	15. Aldermanic District		
16. Mailing Address (if different from	n premises add	dress)					
17. City				18. State	19. Zip Code		
20. Premises Phone		21. Premises Emai	il		22. Website		
Describe all rooms including liv	∕ing quarters, if	used, for the sales a	and/or storage of	cigarettes, to	electronic vaping devices are to be sold and stored. bacco products, and electronic vaping devices and NLY on the premises described in this application.		
Part B: Questions							
1. What products will be sold a	t this busines	s location? (check	all that apply)				
☐ Cigarettes		,	Products		☐ Electronic Vaping Devices		
Now will cigarettes, tobacco, Over the counter	and/or electr		es be sold? (ch machine	eck all that a	apply)		
3. Is the applicant business ow	ned by anoth	er business entity?	?		Yes No		
If yes, provide the name and CTV-101 for all of the parent	FEIN of the company's n	parent company bonembers, partners	elow, identify p., or officers.	arent compa	any members in Part C, and attach Form		
3a. Name of Parent Company:							
3h FEIN of Parent Company	·/·						

Part C: Individual Information	1		
An Individual Questionnaire, Form CTV	101, must be completed and atta Such persons include: sole prop		each person involved in the applicant business and so fa corporation, all partners of a partnership, and
List the full name, title, and phone i	number for each person below	. Attach additional shee	ts if necessary.
Last Name	First Name	Title	Phone
David Dr. Attactation			
Part D: Attestation			
One of the following must sign and • sole proprietor • one gene	attest to this application: ral partner of a partnership	one corporate office	er • one managing member of an LLC
READ CAREFULLY BEFORE SIGNI		one corporate onic	el Grie managing member of all EEC
I understand and agree to the following			
	•	rom distributors, jobber	s, or subjobbers permitted by the Wisconsin
Department of Revenue, unles			
I will not purchase or exchange	•		,
I will provide tobacco sales trai (https://witobaccocheck.org).	ning that has been approved	by the Wisconsin Depa	rtment of Health Services to my employees.
I will not sell single cigarettes.			
I will not sell, give, or otherwise	•	•	
I will keep product invoices on enforcement. Failure to comply			records are available for inspection by law ss of inventory.
I will not sell cigarettes or roll-your of certified tobacco manufacture		cts unless listed on the	Wisconsin Department of Justice's directory
to operate this business according	g to law and that the rights ar	nd responsibilities confe	nswered to the best of my knowledge. I agree erred by the license(s), if granted, cannot be enspection will be deemed a refusal to permit
	emeanor and grounds for revo	cation of this license. Ar	ny person who knowingly provides materially
Signature		Date	
N (
Name (Last, First, M.I.)			
Title	Email		Phone
Part E: For Clerk Use Only Date application was filed with clerk	rata licansa issuad	Date license expires	License number

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License fees

Signature of Clerk/Deputy Clerk

Form CTV-100 Instructions

Cigarette, Tobacco, and Electronic Vaping Device Retail License Application

Who needs a cigarette, tobacco, and electronic vaping device retail license?

Any individual or entity that wants to sell cigarettes, tobacco products, or electronic vaping devices to consumers over the counter or through a vending machine must obtain a retail cigarette, tobacco, and electronic vaping device license.

Who issues cigarette, tobacco, and electronic vaping device retail licenses?

Municipal clerks of cities, villages, and towns issue cigarette, tobacco, and electronic vaping device retail licenses.

Specific Instructions

Part A: Business Information

- · Box 1: Enter the legal business name.
- Box 2: Enter the business trade name or "doing business as" name, if different than the name in box 1.
- Box 4: For questions about obtaining a seller's permit, see Seller's Permit Common Questions.
- Box 5: Check one entity type to indicate how the business is legally organized.
- Box 14: Check a municipality type and write the name of the governing municipality where the business is located. This may be different from the city listed in the premises address.
- Box 20 23: All requests for "premises" information are requests for the physical location within the municipality and contact information to reach the business during open hours.
- Box 23: Describe the premises in detail. Attach a floor plan if possible.
 - Example: The premises is located at 1234 Main St., Realtown, WI 12345 and includes only the first-floor sales floor, humidor, north storage room, and south office of the 5,000 square foot building.

Part B: Questions

- 1. Check the box(es) corresponding to each type of product you intend to sell. You may check multiple boxes.
- Check the box(es) corresponding to the type of retail sale intended. This license does not authorize any online sales.
 Cigarette vending machine retailers must also obtain a Cigarette Vending Machine Operator by completing Form CT129.
- 3. If you answer yes to this question, provide the Legal Business Name and FEIN of the parent company in boxes 3a and 3b.

Part C: Individual Information

- Provide basic information for all persons involved in the cigarette, tobacco product, or electronic vaping device business who are sole-proprietors, partners, officers, members, or agents.
- Example titles: President, Treasurer, Chief Financial Officer, Member, Partner, etc.
- Include an Individual Questionnaire (Form CTV-101) for each person listed in this section with the submission of this application.
- If the applicant is owned by another corporation or LLC as indicated in Part B, Question 3, include information about the parent company's members or officers in the table, including the completion of Form CTV-101.

Part D: Attestations

· Read the attestation carefully, then sign and date.

Part E: For Clerks Use Only

• "Date license issued" means the date the municipal clerk issued the license certificate document.

Completion and Submission of Form CTV-100

- Submit the completed application to the clerk of the municipality in which you are applying for a license.
- In addition to Form CTV-100, include:
 - Form CTV-101 for the sole-proprietor; all officers, directors, and agent of a corporation; all partners of a partnership; all managing members and agent of a limited liability company
 - Form CTV-102 if the applicant is an LLC or corporation
 - Proof the applicant holds a seller's permit, such as a copy of the seller's permit document. Search for active sales tax accounts at revenue.wi.gov under My Tax Account, click on "Search Account Number" under the Businesses section. If you have questions about whether a person holds a seller's permit, contact the Department of Revenue at 608-266-2776
 - All other information and documents required by your municipality

Open Records

This application is an open record under state law (sec. 19.35, Wis. Stats.) and may be provided to the public. If this license is issued by your municipality, your municipality must report the license to the Wisconsin Department of Revenue. The department may publish a list of cigarette, tobacco product, and electronic vaping device licensees reported by municipalities. The department will not disclose personal information such as residential addresses, home phone numbers, social security numbers, age, birth date, and place of birth of individuals, including partners, officers, directors, members, managers, and agents of corporations or LLCs.

Assistance

This form is designed by the Department of Revenue for use by municipal governments. Reach out to your municipal clerk for assistance with the following:

- · Submission of the retail license application and supplemental forms
- · Availability of certain licenses

If you have questions about cigarette, tobacco product, and electronic vaping device laws and regulations, you may contact the Department of Revenue using the contact information below.

Website: www.revenue.wi.gov

Write: DORAlcoholTobaccoEnforcement@wisconsin.gov

Call: (608) 264-4573

Resources Provided by the Department of Revenue

Wisconsin Department of Revenue Cigarette, Tobacco, and Vapor Product Landing Page Permit Predetermination Common Questions

Vapor Products Tax Common Questions

Fact Sheet 3501 Vapor Products Tax

Other Resources

<u>Tobacco Sales Training</u> – Wisconsin Department of Health Services Tobacco 21 – Wisconsin Department of Health Services

Date		

Form CTV-101

Cigarette, Tobacco, and Electronic Vaping Device License - Individual Questionnaire

Part A:	Business Informati	on							
1. Legal E	Business Name (individual r	name if sole	e proprietor)						
2. Busine	ss Trade Name or DBA								
3. Entity	Type (check one)								
☐ S	ole Proprietor		Partnership		Lir	nited Liability Co	ompany	□ C	orporation
Part B:	Individual Informat	ion							
1. Name	(Last)			2. Na	me (First)				3. Name (M.I.)
4. Relatio	nship to Business (Title)			5. Em	nail			6. Phone	
7. Home	Address								
8. City					9. State	10. Zip Code		11. Date of E	Birth
12. Drivers License/State ID Number					13. Drivers License/State ID State of Issuance				
Part C:	Individual's Addres	s Histor	У						
List in ch	nronological order all of y	our addre	esses within the las	t 5 ye	ars. Attach	additional shee	ets if necessary.		
Previous	Address 1			City			State	Zip Code)
Previous	Address 2			City			State	Zip Code	,
Previous	Address 3			City			State	Zip Code	!
Previous	Address 4			City			State	Zip Code	.
Previous Address 5			City			State	Zip Code		
Previous Address 6		City		State	e Zip Code				
If applica	able, list all states and co	ounties yo	u have lived in as a	an adu	ılt. Attach	additional sheet	ts if necessary.		
State	County	State	County		State	County	State	County	
State	County	State	County		State	County	State	County	
	<u> </u>								

 $Continued \rightarrow$

Part D: Individual's Criminal History			
Have you ever been convicted of any offense Wisconsin, or another state's laws, or of any of the state's laws, or of the state's laws,			
If yes to question 1, please list details of each	conviction below:		
Law/Ordinance Violated	Location		Trial Date
Penalty Imposed	1	Was sentend	ce completed? Yes No
Law/Ordinance Violated	Location	,	Trial Date
Penalty Imposed		Was sentend	ce completed? Yes No
Law/Ordinance Violated	Location		Trial Date
Penalty Imposed	1	Was sentend	ce completed? Yes No
Are charges for any offenses currently pending federal, Wisconsin, or another state's laws or a			
If yes to question 2, describe nature and statu	ıs of pending charges us	ing the space below	v. Attach additional sheets as needed.
Part E: Attestation by Individual			
READ CAREFULLY BEFORE SIGNING: I und connection with this application, and that any pe rette, electronic vaping devices, and tobacco pi I declare under penalties of the law that I have complete to the best of my knowledge and belief	rson who knowingly prov roducts retail license ma examined this informatio	ides materially false y be required to for	e information on an application for ciga- feit not more than \$1,000 if convicted.
Signature			Date
		1	
Part F: Licensing Authority Approval			
I hereby certify that I have checked municipal and this individual qualifies to serve in the reported re			owledge, with the available information,
Name of Local Official		Title	
Signature of Local Official			Date

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Form CTV-101 Instructions

Cigarette, Tobacco, and Electronic Vaping Device License - Individual Questionnaire

Who must complete Form CTV-101?

This form must be submitted to the municipal clerk along with Form CTV-100. One CTV-101 must be completed by each person involved in the applicant business. Such person include: sole proprietor; all officers, agents of a corporation; all partners of a partnership; and all members and agents of a limited liability company.

Note: Your cigarette, tobacco, and electronic vaping device license application (Form CTV-100) is not complete until all required Individual Questionnaires are submitted.

Where do I submit Form CTV-101?

Submit this form with the license application (Form CTV-100) to the clerk of the municipality in which the applicant business is located.

Specific Instructions

Date

Date you are preparing this form using the format MM/DD/YYYY.

Part A: Premises/Business Information

- Box 1: Enter the legal business name. If the applicant is a sole proprietor, enter the individual's first and last name.
- Box 2: Enter the trade name or "doing business as" name, if different than the name in box 1.
- Box 3: Check one entity type to indicate how the business is legally organized.

Note: This business information must match the information on the license application (Form CTV-100).

Part B: Individual Information

- · Provide all requested personal information.
- Box 2: Enter your title or describe your relationship to the business. Examples: President, Treasurer, Chief Financial Officer, Member, Partner, Agent, etc.

Part C: Address History

- List your addresses within the past five years.
- · List any states and counties you have lived in not already listed in Part C.

Part D: Criminal History

- Question 1: Disclose any civil or criminal violations of law in any jurisdiction (federal, state, or local ordinance).
- Question 2: Disclose any pending charges against you in any jurisdiction.

Note: Subject to the Wisconsin Fair Employment Law (Ch. 111, Wis. Stats.), persons with convictions or pending charges may, if the offenses are sufficiently relevant, be prohibited from holding a retail cigarette, tobacco, and electronic vaping device license under sec. 134.65(1m), Wis. Stats. See the Department of Revenue's <u>Permit Predetermination Common Questions</u> for offenses that may prevent someone from holding a license.

Part E: Attestation:

Read the attestation carefully, then sign and date.

Assistance

This form is designed by the Department of Revenue for use by municipal governments. Reach out to your municipal clerk for assistance with the following:

- · Submission of the retail license application and supplemental forms
- · Cost of certain licenses

If you have questions about cigarette, tobacco, and electronic vaping device laws and regulations, you may contact the Department of Revenue using the contact information below.

Website: https://www.revenue.wi.gov/Pages/Businesses/Tobacco.aspx

Write: DORAlcoholTobaccoEnforcement@wisconsin.gov

Call: (608) 264-4573

Resources Provided by the Department of Revenue

Wisconsin Department of Revenue Cigarette, Tobacco, and Vapor Product Landing Page

Permit Predetermination Common Questions

Vapor Products Tax Common Questions

Fact Sheet 3501 Vapor Products Tax

Other Resources

Tobacco Sales Training - Wisconsin Department of Health Services

Tobacco 21 - Wisconsin Department of Health Services

Form CTV-102

Cigarette, Tobacco, and Electronic Vaping Device Appointment of Agent

Date		

Agent Type (check one):	☐ Original ☐ Change				
Part A: Agent Informa	tion				
1. Last Name		2. First Name			3. M.I.
4. Email		<u> </u>	5. Phone		<u> </u>
6. Home Address					
7. City			8. State	9. Zip Code	
10. Date of Birth	11. Drivers License/State ID Number		12 Drivers Liese	se/State ID State	of leavenee
To. Date of Birth	11. Drivers License/State ID Number		12. Drivers Licen	se/State ID State	orissuance
Part B: Questions					
-	orm CTV-101, <i>Cigarette, Tobacco, and</i> a completed Form CTV-101 with this f				es 🗌 No
2. If this is a change of ag	ent, please describe the reason for the	e agent change. Attach a	ıdditional sheets	if necessary.	
Part C: Business Infor					
Legal Business Name (individual)	vidual name if sole proprietor)				
2. Business Trade Name or D	BA				
3. Entity Type (check one)	☐ Limited Liability Company	☐ Corpora	ation		
4. Premises Address					
5. City			6. State	7. Zip Code	
Part D: Attestations					
liability company with full aut devices conducted therein. I successor agent, I rescind a statements and affidavits in	RE SIGNING: I, the Licensee, authorize the thority and control of the premises and of all certify that I am authorized by the entity to all previous agent appointments for this preconnection with this application, and that to forfeit not more than \$1,000 if convicted	I business relative to cigare o authorize this individual to mises. Further, I understan t any person who knowingl	ttes, tobacco prod act on behalf of t d that I may be pi	ucts, and/or elect the entity. If I am a osecuted for sub	ronic vaping appointing a mitting false
Signature of Licensee (officer,	member, or authorized signatory)		Date		
Name of Person Signing for L	icensee		Title		
company and assume full redevices conducted on the pro-	RE SIGNING: I, the Agent, herby accept the esponsibility for the conduct of all business remises for the above-named business. I fu with this form, and that any person who kn 00 if convicted.	relative to sales of cigarett irther understand that I may	tes, tobacco produ be prosecuted fo	ucts, and/or elector or submitting false	ronic vaping statements
Signature of Agent			Date		

Form CTV-102 Instructions

Appointment of Agent

Who must complete Form CTV-102?

Wisconsin law requires corporations and limited liability companies (LLCs) to appoint an agent that takes responsibility for the licensed premises.

Submit this form with CTV-100 to appoint an agent while applying for a license, or as a standalone document to report a change in appointed agent.

Where do I submit Form CTV-102?

Form CTV-102, *Appointment of Agent*, must be submitted to the clerk of the municipality in which the business or organization is located.

Specific Instructions

Date:

Date you are preparing this form using the format MM/DD/YYYY.

Agent Type:

Select original appointment if you are appointing an agent with your license application (Form CTV-100). Select change if you are reporting a change of agent mid-licensing period.

Part A: Agent Information

Provide all requested personal information for the appointed individual.

Part B: Agent Questions

- These questions should be answered by the appointed individual.
- · Question 1: Submit a completed Form CTV-101, Individual Questionnaire, with this form.
- Question 2: Describe the reason why the business entity must appoint a new agent.
 - Examples include: the previous agent is no longer an employee of the entity, the previous agent is no longer eligible to be an agent of the premises, the previous agent was not responsive to business needs.

Part C: Licensee Information

- Box 1: Enter the legal business name.
- Box 2: Enter the trade name or "doing business as" name, if different than the name in box 1.
- Box 3: Check one entity type in to indicate how the business is legally organized.

Note: This business information must match the information on the license application (Form CTV-100) or license certificate if reporting a change of agent during the license period.

Part D: Attestations

- An authorized representative of the licensee should read the first attestation carefully and sign to acknowledge the appointment of this agent.
- If the business in Part C is a corporation, the attestation must be signed by an authorized corporate officer or director.
- If the business in Part C is an LLC, the attestation must be signed by an authorized LLC member (i.e., managing member).
- The agent should read the second attestation carefully and sign to accept the appointment.
- An authorized representative of the licensee may appoint themselves as the agent by signing both attestation sections.

Part E: Licensing Authority Approval

This section is for use by the appropriate municipal official to attest to the qualifications of the individual.

Assistance

This form is designed by the Department of Revenue for use by municipal governments. Reach out to your municipal clerk for assistance with the following:

- · Submission of the retail license application and supplemental forms
- · Availability of certain licenses

If you have questions about cigarette, tobacco product, and electronic vaping device laws and regulations, you may contact the Department of Revenue using the contact information below.

Website: www.revenue.wi.gov

Write: DORAlcoholTobaccoEnforcement@wisconsin.gov

Call: (608) 264-4573

Resources Provided by the Department of Revenue

Wisconsin Department of Revenue Cigarette, Tobacco, and Vapor Product Landing Page Permit Predetermination Common Questions

Vapor Products Tax Common Questions

Fact Sheet 3501 Vapor Products Tax

Other Resources

<u>Tobacco Sales Training</u> – Wisconsin Department of Health Services <u>Tobacco 21</u> – Wisconsin Department of Health Services